

Introduction to Effective-one-body (EOB) formalism and gravitational waveform construction

Here: gravitational waves (GW)

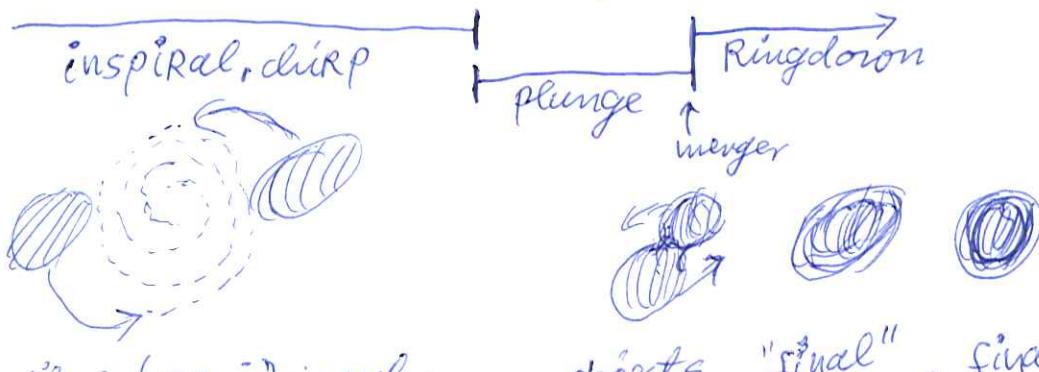
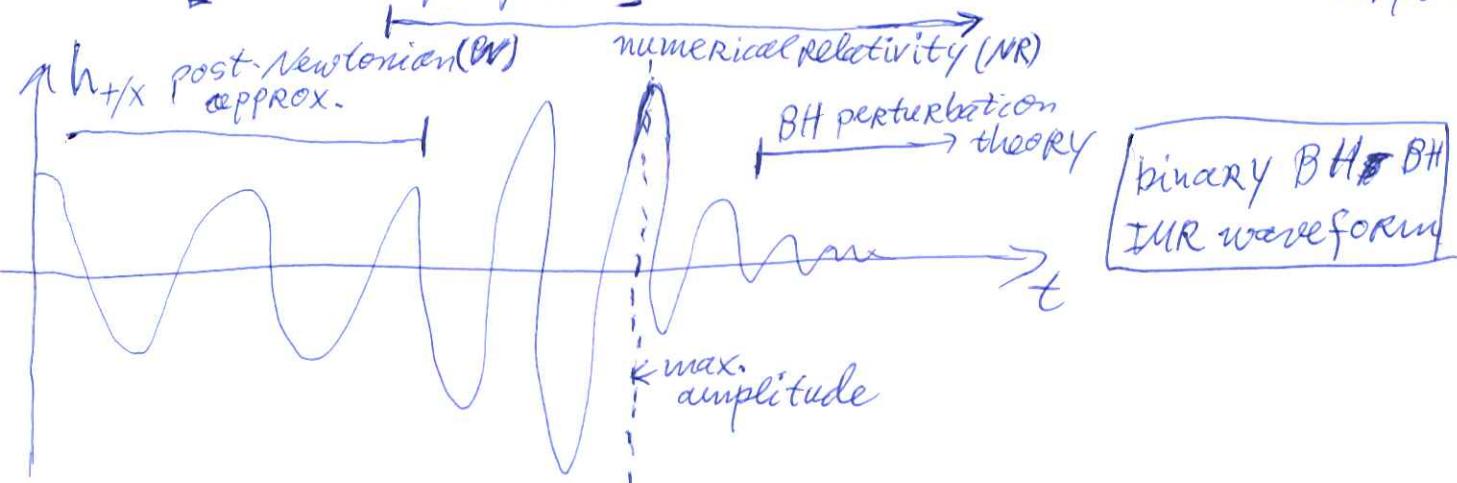
[jan-steinhoff.de/lectures/ictp2018/]

from compact binary coalescence, CBC [in GR]

black hole (BHs)
neutron stars (NSs)
[exotic comp. obj. ECO]

inspiral,
merger, and
Ringdown (IMR)

[also: cont. waves
supernovae
big bangs
stochastic background
surprises?]

likely (quasi-)circular
in the end

objects touch "final"
deformed object final
equilibrium state

binary NS-BH: - tidal disruption of NS \rightarrow no ringdown
- no " \rightarrow similar to BH-BH

binary NS-NS: complicated post-merger
waveform, but with characteristic frequencies

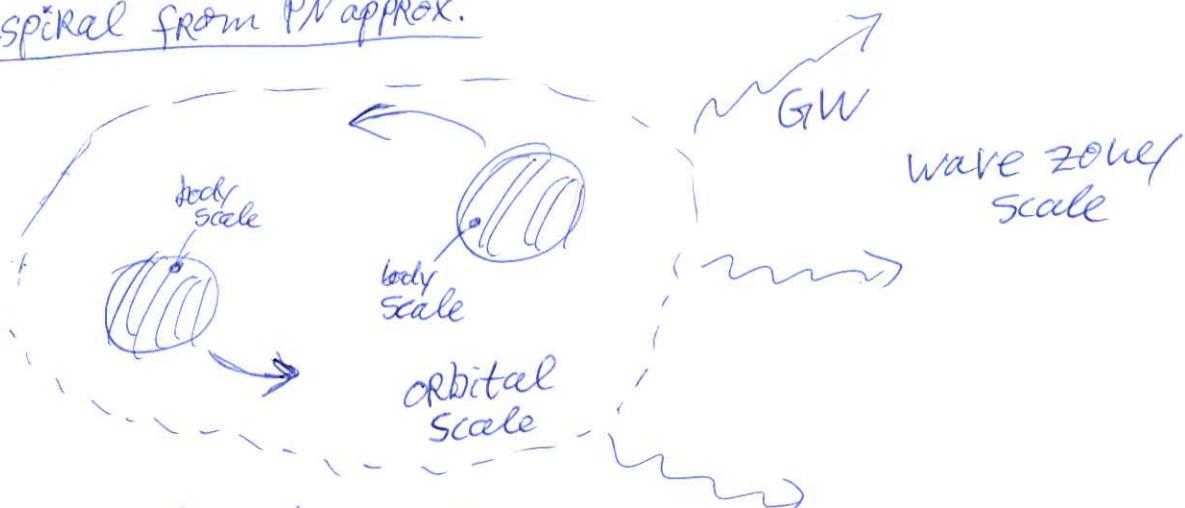
IMR waveform models \rightarrow combine PN & NR?

phenomenological: emphasis on low comp. cost } not always
EOB: emphasis on physics } a clear cut

[Reduced ORDER models: waveform compression & interpolation]

ICTP18 p.2.1

inspiral from PN approx.



~ separation / decoupling

of scales & approximation possible

still complicated: - spin, multipoles

- tidal deformation

heating
resonances

- tail effect

assume: circular orbit ($r = \text{const}$)

PN approximation:

$$X := (M\omega)^{2/3} \sqrt{\frac{M}{r}} \sqrt{V} \stackrel{<1}{\approx}$$

↑
3rd Kepler
 $\omega^2 r \propto M$

$$G=1=c$$

$M = m_1 + m_2$ total mass

$\mu = \frac{m_1 m_2}{M}$ reduced mass

$\nu = \frac{\mu}{M}$, $0 \leq \nu \leq \frac{1}{4}$ symmetric mass ratio

test-mass

equal masses

PN phase of GW ϕ :

binding energy ~ (energy - M) $/ \mu$

$$\hookrightarrow e(x) = -\frac{1}{2} \times [1 - \frac{7}{12}(9+2\nu)x + \dots]$$

[known to x^4 (4PN) [gauge index]]

GW luminosity

$$\hookrightarrow L = \frac{32}{5} \nu^2 x^5 \left[1 - \left(\frac{1247}{336} + \frac{35}{12}\nu \right) x + \dots \right]$$

[known to x^{12} (3.5PN)]

energy balance: [adiabatic approx.]

$$\begin{aligned} \mu \frac{de}{dt} &= -L & \frac{dx}{dt} &= -\frac{L}{\mu} \frac{1}{d\ell/dx} \\ \frac{d\ell}{dt} &= \omega & \frac{d\ell}{dt} &= \frac{x^{3/2}}{M} \end{aligned}$$

~ analytic & numeric solutions
~ TaylorT? models

Taylor F2: - analytic sol. $\phi(t)$ in $h+X$

- analytic Fourier trans (stationary phase approx.)

shortcomings: - motion not exactly spherical
~ plunge

- asymptotic series ~ need resummation

e.g. use test body case: $e(x) = \frac{1-2x}{\sqrt{1-3x}} x - \frac{7}{2} x (1 - \frac{3}{4} x + \dots)$

R: distance to source

PN amplitude of GW

$$h_+ = -\frac{4\mu}{R} \cdot \frac{1+\cos^2\theta}{2} \cdot \cos(2\phi) \cdot x + \dots$$

$$h_x = -\frac{4\mu}{R} \cdot \cos\theta \cdot \sin(2\phi) \cdot x + \dots$$

} PN corrections

$$\omega_{GW} = 2\omega \quad \square$$

restricted waveform

PN corrections only in $\phi(t), x(t)$

at point about point masses: (≈ nonrotating BHs)

~~action of a binary~~

$$\text{action Spointman} = \int d\tau m \sqrt{g_{\mu\nu} u^\mu u^\nu} \quad \begin{matrix} \uparrow \\ \text{proper time} \end{matrix} \quad \begin{matrix} \uparrow \\ \text{affine parameter} \end{matrix} \quad \begin{matrix} \downarrow \\ -L \end{matrix}$$

$$u^\mu = \frac{dx^\mu(s)}{ds} \quad \begin{matrix} \uparrow \\ \text{worldline} \end{matrix}$$

$$x^\mu(s)$$

$$\text{EOM } S=0 \rightsquigarrow \frac{D}{ds} \left(\frac{m u^\mu}{\sqrt{-g_{\mu\nu} u^\nu}} \right) = 0 \quad \begin{matrix} \text{geodesic} \\ \text{equation} \end{matrix}$$

$$p_\mu = \frac{\partial L}{\partial u^\mu} \quad \begin{matrix} \text{canonical} \\ 4\text{-momentum} \end{matrix}$$

now: Legendre transfo

$$\text{but: } L = p_\mu u^\mu \rightsquigarrow H = p_\mu u^\mu - L = 0 ?$$

need to add map $u^\mu \rightarrow p_\mu$ not invertibleneed to add constraint $p_\mu p^\mu + m^2 = 0$ to action

$$\rightsquigarrow S_{\text{point man}} = \int ds [p_\mu u^\mu - \lambda (g^{\mu\nu} p_\mu p_\nu + m^2)]$$

$\lambda(s)$: Lagrange multiplier, "worldline metric"

$$\text{EOM: } p^2 = -m^2, \quad u^\mu = 2\lambda p^\mu, \quad \boxed{\frac{D p_\mu}{ds} = 0}$$

$$\lambda = \frac{1}{2m} T - u_\mu u^\mu \quad \boxed{p^\mu = \frac{m u^\mu}{T - u_\mu u^\mu}}$$

man-shell $g^{\mu\nu} p_\mu p_\nu = -m^2$ encodes dynamics \square

interaction linearizing for λ
 takes place of Hamiltonian \mathcal{H}

[from Nambu-Goto
to Polyakov]

example: test-mass in Schwarzschild metric
 \downarrow_{mane} $\downarrow_{\text{mane} M}$

gauge choice: $t = \tau = \text{coord-time}$

$$\sqrt{-g} = \frac{dt}{dr} = 1 \Rightarrow p_t = \dot{r} + p_\phi \dot{\phi} + p_\theta \dot{\theta}$$

solve mass-shell for $H := -p_0$

$$g^{\mu\nu} p_\mu p_\nu = -\mu^2$$

$$\text{with } dr^2 = -g_{rr} dx^\mu dx^\nu$$

$$= Adt^2 - \frac{dr^2}{A} - r^2(d\theta^2 + \sin^2\theta d\phi^2)$$

$$\approx \frac{1}{A} H^2 + A p_r^2 + \frac{p_\phi^2 L^2}{r^2} = -\mu^2$$

$p_\phi \equiv L = \text{angular momentum}$

$$H = \sqrt{A \cdot (\mu^2 + A p_r^2 + \frac{p_\phi^2 L^2}{r^2})}$$

action: $S_{\text{test}} = \int dt (p_t \dot{r} + L \dot{\phi} - H)$

EOM: $\frac{dr}{dt} = \frac{\partial H}{\partial p_r}, \frac{dp_r}{dt} = -\frac{\partial H}{\partial r}$

$\omega \equiv \frac{d\phi}{dt} = \frac{\partial H}{\partial L}, \frac{dL}{dt} = -\frac{\partial H}{\partial \phi} = 0 \quad \text{NL=const}$

exercise: show that

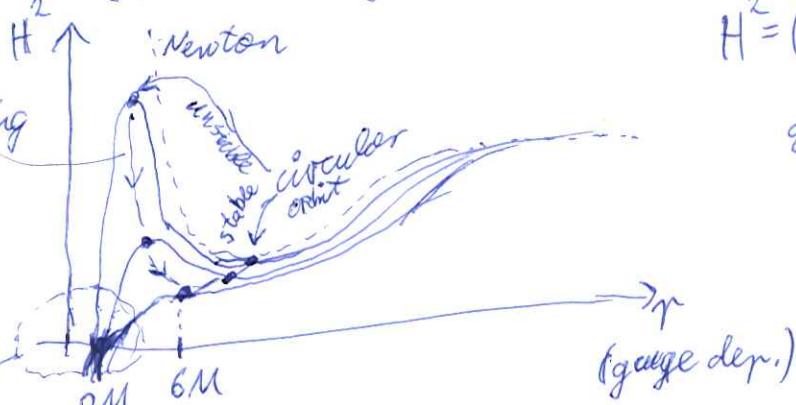
$$\omega^2 r^3 = M$$

FOR CIRCULAR ORBITS

now: circular orbits, $p_r = 0, r = \text{const}$

$$\approx \frac{\partial H}{\partial r} = 0 \quad \text{or} \quad \frac{\partial H^2}{\partial r} = 0 \quad (\text{if } H \neq 0)$$

L goes down during inspiral due to GW



$$H^2 = \left(1 - \frac{2M}{r}\right) \left(\mu^2 + \frac{L^2}{r^2}\right)$$

↑
grav. attraction ↑
angular mom. "barrier"

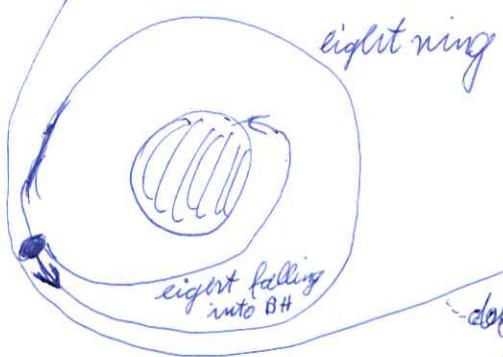
innermost
stable
circular
orbit (ISCO)

- min and max move together during inspiral
- they form a saddle point
- no stable orbit any more for $r \leq 6M$
- than μ plunges into BH

gravity wins

another strong-field feature: light ring

↳ unstable circular photon orbit



also: point of max. frequency for orbiting man

$$\Omega = \frac{2\omega}{r} = \frac{2H}{r^2} \text{ or } \frac{dH}{dr} = \frac{2H^2}{r^2}$$

~~$\Omega = \frac{d\phi}{dt}$~~

$$H^2(\mu \rightarrow 0) = A \cdot \frac{L^2}{r^2}$$

$$0 = \frac{\partial H^2}{\partial r} \rightarrow 0 = A' \frac{L^2}{r^2} - \frac{2L^2}{r^3} A \quad \stackrel{!}{=} \frac{d}{dr}$$

$$2A = rA' \rightarrow 2\left(1 - \frac{2M}{r}\right) = \frac{2M}{r}$$

$$\rightarrow r = 3M$$

↳ \times half of the GW fall into the BH



\times attach ring down \times at light ring

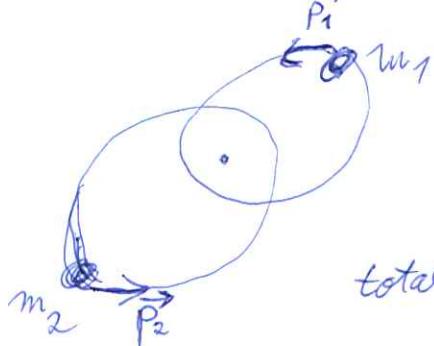
Effective-one-body (EOB) model

[Buonanno & Detweiler 1998]

- combine PN results with test-mass motion \rightarrow strong-field effects (ISCO, light ring)
- attach ring down (BH perturbation theory \nexists , also NR)
 - \times at light ring
- recent approach: backwards one body (BOB)
for waveform from \times ISCO to end
- calibrate to match numerical relativity

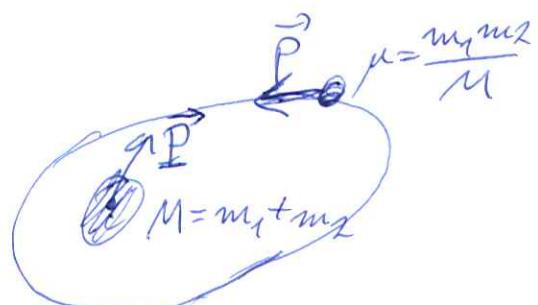
combine PN & test-mass

recall Newtonian binary problem



$$\text{total lin. momentum } \vec{P} = \vec{P}_1 + \vec{P}_2 = \text{const}$$

Relative momentum $\vec{p} = \vec{P}_1 = -\vec{P}_2$ in center-of-mass (COM) system, $\vec{P} = 0$



relativistic mass-shell $g^{\mu\nu} p_\mu p_\nu = m^2$ encodes dynamics!

Idea: map $p_{1/2}^2 = -m_{1/2}^2$ to mass-shells for $\vec{P}^\mu = \vec{p}_1^\mu + \vec{p}_2^\mu$, $P^\mu = 2$

assume $g_{\mu\nu} = \eta_{\mu\nu}$ for now

define $p_\mu := \frac{1}{M} \begin{pmatrix} +p_1^\mu p_2^\mu \\ \vec{p}_0 \vec{p}_1 \end{pmatrix}$ in com frame, $\vec{p}_1 = -\vec{p}_2$

then: ~~$p_\mu p^\mu = \mu^2$~~

$$\Rightarrow p_\mu p^\mu = \mu^2$$

$$\Rightarrow P_\mu P^\mu = M_p^2 := M^2 [1 + 2\gamma(\frac{p_0}{\mu} - 1)]$$

$$\gamma = \frac{\mu}{M}$$

energy map $p_0 \leftrightarrow p_0$ (exercise)

$H = p_0$ = "real" Hamiltonian/energy

$H_e = -p_0$ = "effective" Hamiltonian/energy

$$\sqrt{H} = \sqrt{M^2 [1 + 2\gamma(\frac{H_e}{\mu} - 1)] + \vec{P}^2} \quad \text{from kinematics, no interaction!}$$

$\vec{P} = 0$: effective-one-body approximation
(neglect recoil)

He for grav. binary?

- calculate PW result H_{PW}

- match $H \approx H_{PW}$ mod. canonical trans.

\checkmark He up to "coordinate" freedom

Methods for matching:

- compare gauge-invariant quantity, e.g.:

- circular-orbit binding energy $e(\alpha)$

- Delaunay Hamiltonian = relativistic gravitational "Balmer" formula

- scattering angle/amplitude

- explicitly construct a canonical transformation

Idea: use deformed test-body Hamiltonian for He

suggested by Newtonian case

\hookrightarrow deformed metric and mass-shell for p_μ
(effective)

$$g_{\mu\nu}^{\text{eff}} dx^\mu dx^\nu = -Adt^2 + \frac{dr^2}{A\bar{D}} + \frac{r^2}{c}(d\theta^2 + \sin^2\theta d\phi^2)$$

$$g_{\mu\nu}^{\text{eff}} p_\mu p_\nu + Q = -\mu^2$$

here A, \bar{D}, C are fct. of r, v , and Q is fct. of r, v, p_i

gauge coordinate choice: $\epsilon=1, Q=Q(r, p_r, v)$
 convenient: ~~$Q \propto \theta$ for quasi-circularities~~

$$\sim H_e = \sqrt{A \cdot (\mu^2 + A \bar{D} p_r^2 + \frac{L^2}{r^2} \dot{\phi}^2) + Q}, \quad H = \sqrt{M^2 \left[1 + 2v \left(\frac{H_e}{\mu} - 1 \right) \right]}, \quad \vec{P} = 0$$

(energy map)

$$\text{EOB potentials: } u := \frac{M}{r}$$

$$A = 1 - 2u + \underbrace{u^2}_{0PN} + 2v \cdot u^3 + \left(\frac{94}{3} - \frac{41\pi^2}{32} \right) v \cdot u^4 + (\dots v + \dots v \ln u + \dots v^2) \cdot u^5$$

$$\bar{D} = 1 + \underbrace{0 \cdot u}_{1PN} + 6v \cdot u^2 + (92v - 6v^2) \cdot u^3 + \underbrace{(2(4-3v)v \cdot u^2 \cdot p_r^4 + \dots \text{complicated} \dots)}_{4PN} \cdot u^4$$

$$Q = \underbrace{0}_{0PN} + \underbrace{u^2}_{1PN} + \underbrace{2v \cdot u^3}_{2PN} + \underbrace{3v \cdot u^4}_{3PN} + \underbrace{4v \cdot u^5}_{4PN}$$

- for circular orbits $p_r = 0 \Rightarrow Q = 0, \bar{D}$ irrelevant
 $\Rightarrow A(r, v)$ determines dynamics

- g_{eff} is Schwarzschild metric at ~~1PN~~ 1PN

- v -deformed metric at 2PN

- at 3PN, 4PN: deformed g_{eff} and mass-shell (nongeodesic motion in g_{eff})

exercise: check the binding energy $e(x)$ to 1PN from H .
 with Mathematica

Radiation reaction

so far: conservative dynamics

↳ add radiation-reaction force to EOM
 force \vec{f} EOM

$$\dot{r} = \frac{\partial H}{\partial p_r}, \quad \dot{p}_r = -\frac{\partial H}{\partial r} + f_r$$

$$\dot{\phi} = \frac{\partial H}{\partial L}, \quad \dot{L} = -\underbrace{\frac{\partial H}{\partial \dot{\phi}}}_{0} + f_\phi \quad \begin{matrix} \text{angular mom.} \\ \text{loss} \end{matrix}$$

$$\begin{aligned} \downarrow \text{energy loss: } \dot{E} &= \frac{dH}{dt} = \dot{r} \frac{\partial H}{\partial r} + \dot{\phi} \frac{\partial H}{\partial \phi} + p_r \frac{\partial H}{\partial p_r} + L \frac{\partial H}{\partial L} \\ &= \dot{r} \cdot f_r + \dot{\phi} \cdot f_\phi \end{aligned}$$

[Finsler geometry]

← resummation: Padé

- log

calibration parameters

energy & angular momentum balance
 ↳ only hold ~~on average~~, not instantaneous &
 ↳ Schott terms

$$\dot{E} + \dot{E}_{\text{Schott}} + \dot{F}_E = 0$$

$$\dot{L} + \dot{L}_{\text{Schott}} + \dot{F}_L = 0$$

fluxes at infinity

$$F_E \equiv \mathbf{L}$$

$$\dot{E}_{\text{Schott}} = 0 = \dot{L}_{\text{Schott}}$$

→ if $f_r + f_\phi + E_{\text{Schott}} + F_E = 0$

$$f_\phi + L_{\text{Schott}} + F_L = 0$$

Solution for f_r, f_ϕ : see Bini & Damour (2012) ↳ see also

here: assume quasi-circular in spiral

~~drop~~ ~~average irrelevant~~ ($L_{\text{Schott}} \equiv 0 \equiv E_{\text{Schott}}$)

$$\nabla f_\phi = F_L \quad \nabla f_r = \frac{F_E}{\phi} = \frac{\ddot{x}(x)}{w}, \quad f_r = 0$$

can be obtained from PN, but should be resummed &
 but we also need the GW modes:

$$h_t + i h_x = \sum_{l,m} h_{em} e^{im\phi}$$

spin-weighted
spherical harmonics

$$\text{then: } \mathcal{L} \approx \oint dl (h_t^2 + h_x^2)$$

$$\approx \sum_{l,m} |h_{em}|^2$$

→ presume h_{em} → get \mathcal{L} → get f_ϕ

$$X = (Mw)^{2/3}$$

Resummation through factorization:

$$h_{em} = \underbrace{h_{em}^N}_{\text{"Newtonian" PN correction}} \underbrace{h_{em}^V}_{\text{circular orbit}} = h_{em}(X)$$

$$h_{em} \text{ is again factorised: } h_{em} = S_{eff}^{(E)} T_{em} e^{i \underbrace{\text{Sem}}_{\text{inspired by}} \underbrace{(P_{em})_e}_{h_{em} \sim \text{harmonic}(X)}},$$

justification:
WORKS well

effective source S_{eff}
 ≈ GW propagate in field of binary

$$S_{eff} = \begin{cases} H_{eff}/\mu & l+m \text{ even} \\ \sqrt{F_X}/\mu & l+m \text{ odd} \end{cases}$$

Resummed "leading logarithms"

$$T_{\text{em}} = \frac{\Gamma(\ell+1-2ik)}{\Gamma(\ell+1)} e^{\pi ik} e^{2ik \log(2kr_0)}, \quad r_0 = \frac{2M}{\sqrt{e}}$$

$$k = H \cdot m \cdot \omega$$

"ADM mass"

the "rest": $e^{iS_{\text{em}}}$

Subleading term, including logs

but: ~~circular approximation~~ or ~~not~~ not good during late inspiral

→ non quasi-circular (NQC) correction $f_{\text{em}}^{\text{NQC}}$

+ tweaks here and there...

$h_{\text{em}} \rightarrow f_{\text{em}}^{\text{NQC}} h_{\text{em}}$

ansatz with parameters matched to NR

recall: EOB potential also have matching param.

matching to NR ≈ parameter estimation (data analysis)

[figured out?]

status: waveform model for inspiral + plunge

missing: ringdown & perturbed BH

(livelock, merged)

BH perturbations (linear order)

$$g_{\mu\nu} = g_{\mu\nu}^{\text{back}} + g_{\mu\nu}^{\text{pert}}$$

↓ ↑
here: small

Schwarzschild

* and time ~~-indep.~~ independence

spherical symmetry of g^{back}

→ $g_{\mu\nu}^{\text{pert}} \sim (\text{radial flat}) \times (\text{vector spherical harm.}) \times e^{-i\omega t}$

(linear) ~~master eq.~~ of scalar master eq. for rad. parts (in Regge-Wheeler gauge for)

- Zerilli eq. (even) variable trans (Chandrasekhar)
- Regge-Wheeler eq. (odd) "electric-magnetic" duality

only need Regge-Wheeler eq.:

$$\left[\frac{d^2}{dr_*^2} + \omega^2 - V_{\text{RW}} \right] Q(r_*) = 0$$

RW master flat

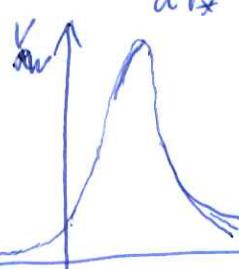
$$\# V_{\text{RW}} = A \left(\frac{\ell(\ell+1)}{r^2} - \frac{6M}{r^3} \right), \quad \text{"tortoise" coord.}$$

$$\frac{dr}{dr_*} = \frac{A}{r^D}$$

$$\text{here: } D=1, A=1-\frac{2M}{r}$$

$$r \in [2M, \infty]$$

$$\hookrightarrow r_* \in [-\infty, \infty]$$



$$r_* = r + 2M \log(r-2M)$$

Remarks: EOB pot. and EOM actually

written in terms of r_{em} conjugate to r_*

$$r_*$$

solutions:

- analytic: infinite series of hypergeom. fcts.
- numeric: ordinary diff. eq.

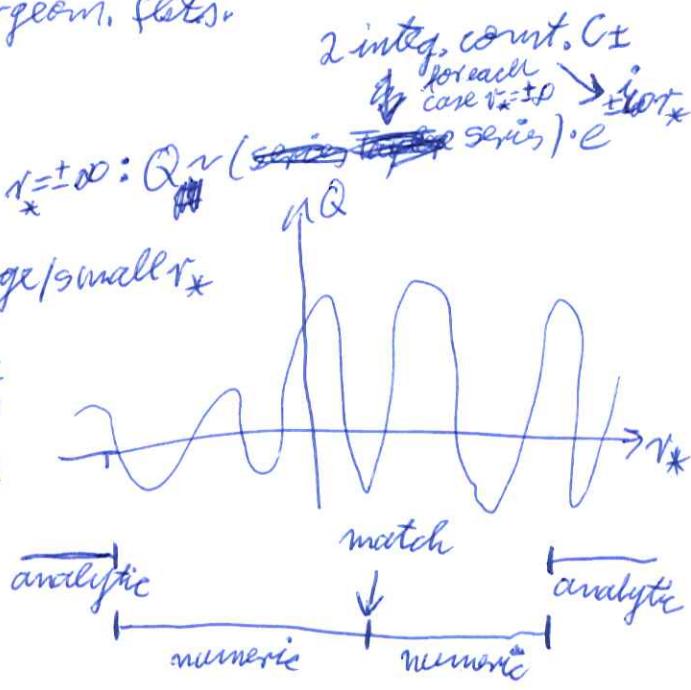
eq. "direct integration"

1.) analytic solution around $r_* = \pm\infty: Q \sim (\text{series}) \cdot e^{\pm i\omega r_*}$ 2.) numeric solution from large/small r_*
to some $r_* = r_*^{\text{match}}$ 3.) fix 2 of 4 integr. const.
by matching Q, Q' at r_*^{match}

↳ mixed numeric/analytic

solution with 2 integ. const.

physical/black hole

boundary condition: no wave coming from
horizon at $r_* = -\infty \rightarrow Q \sim e^{-i\omega r_*}$ 

↳ fixes one int. const., one left

↳ remaining int. const. is overall amplitude (physically irrelevant
for linear perturbations)

quasi-normal modes (QNM)

free oscillations of BHs

↳ no incoming wave from $r_* = 0 \rightarrow Q \sim e^{+i\omega r_*}$ ↳ only possible for complex ω (discrete frequencies)
↳ damped sinusoid $\sim e^{-i\omega t}, \omega \in \mathbb{C}$

→ derivations and codes: jan-steinhoff.de/lectures/ictp2018/

final/merged BH is rotating $\rightarrow g_{\mu\nu}^{\text{back}}$ is Kerr metric

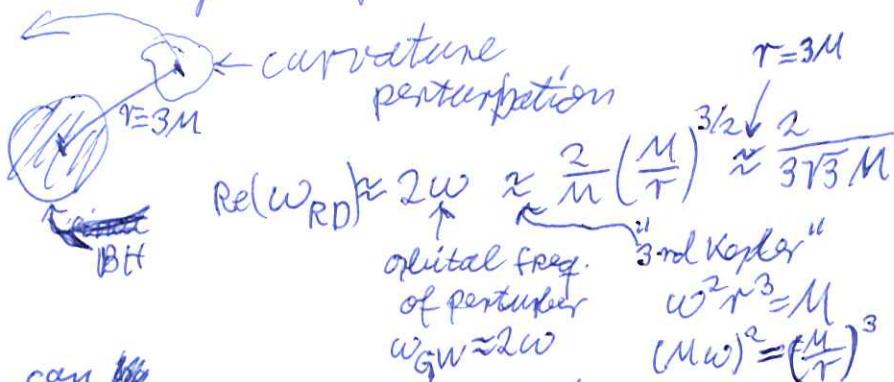
↳ Teukolsky master eq. for radial pert, spheroidal harmonics

↳ Solution methods essentially the same

The fundamental QNM

↳ dominates the ringdown, least-damped mode, smallest Im(ω) → numerical sol from RW-eq: $\text{Re}(\omega_{RD}) = 0.38 \dots$, $\text{Im}(\omega_{RD}) = 0.38 \dots$ + i...
 estimate from light-ring physics:
 plunging bodies create curvature perturbations, which can linger ~~is~~ close to the light-ring orbit of the final BH unstable

light-ring orbit ≈ resonator



$$\text{Re}(\omega_{RD}) \approx 0.38 \dots$$

Sits quite well!

~~can't~~ extend this estimate to linear order in the BH's ~~spin~~ spin (use the Kerr metric).

Lyapunov exponent of geodesic congruence around the light-ring (unstable)

↔ damping time of ringdown, $\text{Im}(\omega_{RD})$

Backwards-One-Body Ringdown model merger ARXIV:1810.00040 McWilliams

extrapolate the picture above backwards in time

congruence of light rays close to light ring:

$$r = r_{LR} [1 + \epsilon \cdot \sinh(\gamma \cdot \tanh(t - t_p)) + O(\epsilon^2)]$$

$$\beta = w t + O(\epsilon^2)$$

$$\theta = \pi + O(\epsilon^2)$$

γ : Lyapunov exponent

t_p : congruence converges

≈ perturber increased in mass, GW amplitude

only expansion in r -direction

by $dr \propto \cosh[r(t - t_p)]$

≈ perturber gets diluted as $\cosh^{-1}[r(t - t_p)]$

≈ GW "amplitude" evolves as $|\Psi_4| = A_p \cosh^{-1}[r(t - t_p)]$
 ↪ real scalar in



From amplitude to phase? (IRS)

Implicit rotating source picture

L : angular momentum of pert.

$$\text{final BH } \sim e^{-\frac{r}{M}} + \text{const}$$

$$\text{frequency of emitted GW } \sim e^{-\frac{r}{M}} + \text{const}$$

$$\sim I := \frac{dL}{d\omega} \propto \text{const} \quad \text{confirmed by numerical simulations}$$

"moment of inertia"

$$\text{energy balance } \dot{I}\omega \propto \frac{d}{dt}(I\omega^2) = 2I\omega \dot{\omega}$$

$$\text{also: } \dot{\omega} \sim \frac{1}{\omega} \propto \frac{1}{\omega} \Psi_4 \leftarrow \text{here: } \frac{d}{dt} \sim \omega$$

$$\sim \omega \propto \left| \frac{\Psi_4}{\omega} \right|^2 \propto \frac{1}{\omega^2} \cosh^2[\pi(t-t_p)]$$

$$\int \omega dt \propto [\tanh(\pi(t-t_p)) + \text{const}]^{1/4}$$

integrate $\omega = \dot{\phi} \propto \text{phase } \phi(t)$ (complicated, but analytic)

proportionality/integration constants

fixed by matching to inspiral waveform

Review: Physics of IMR waveforms

- early inspiral: orbit circularization ("quasi-equilibrium") due to GW emission

- late inspiral & circular orbit

amplitude & frequency grow: "clipp" until ISCO at $r \approx 6M$

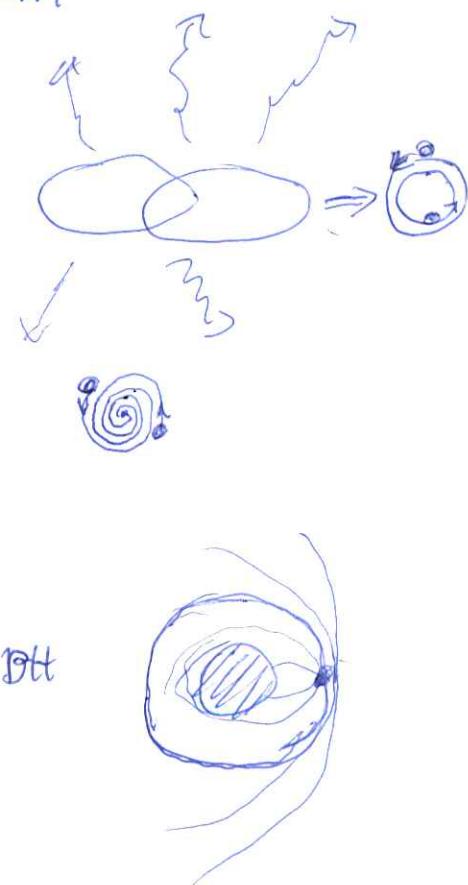
- ISCO: gravity wins vs plunge (circular motion becomes unstable)

- light-ring, $r \approx 3M$

GW start to fall into the other/final BH

\approx half of the GW fall in

\approx point of max. amplitude



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- common event horizon forms, $r \approx 2M$

↳ merger?

- ringdown of final deformed BH

GW "resonate" within light-ring
of final BH

- final (Rapidly) spinning BH, recoil motion

